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# MicroRNAs and the advent of vertebrate morphological complexity

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The causal basis of vertebrate complexity has been sought in genome duplication events (GDEs) that occurred during the emergence of vertebrates, but evidence beyond coincidence is wanting. MicroRNAs (miRNAs) have recently been identified as a viable causal factor in increasing organismal complexity through the action of these  $\approx 22$ -nt noncoding RNAs in regulating gene expression. Because miRNAs are continuously being added to animal genomes, and, once integrated into a gene regulatory network, are strongly conserved in primary sequence and rarely secondarily lost, their evolutionary history can be accurately reconstructed. Here, using a combination of Northern analyses and genomic searches, we show that 41 miRNA families evolved at the base of Vertebrata, as they are found and/or detected in lamprey, but not in either ascidians or amphioxus (or any other nonchordate taxon). When placed into temporal context, the rate of miRNA acquisition and the extent of phenotypic evolution are anomalously high early in vertebrate history, far outstripping any other episode in chordate evolution. The genomic position of miRNA paralogues in humans, together with gene trees incorporating lamprey orthologues, indicates that although GDEs can account for an increase in the diversity of miRNA family members, which occurred before the last common ancestor of all living vertebrates, GDEs cannot account for the origin of these novel families themselves. We hypothesize that lying behind the origin of vertebrate complexity is the dramatic expansion of the noncoding RNA inventory including miRNAs, rather than an increase in the protein-encoding inventory caused by GDEs.

genome duplication | lamprey | macroevolution | shark | chordate

Vertebrates are widely perceived to be more complex than their spineless relatives, the ascidian urochordates and the cephalochordate amphioxus (1, 2). Nonetheless, demonstrating this difference in morphological complexity is difficult, and determining its causal basis has proven even less tractable. Typically, causality has been sought in the phenomenon of genome duplication (2–5), thought to have occurred twice during the emergence of vertebrates (6), once before and after the divergence of the lamprey and gnathostome lineages (4, 7). However, the absence of any obvious increase in morphological complexity associated with other known genome duplication events (GDEs) (8), especially within the actinopterygian fishes (9), suggests that the causal link between morphological complexity and GDEs is tenuous at best (10). Further, given the similarity of the developmental tool kit across Metazoa despite the unambiguous differences in organismal complexity between, for example, vertebrates and cnidarians (11), a consequential increase in the protein-coding repertoire cannot provide sufficient explanation for differences in morphological complexity.

An alternative explanation for increasing morphological complexity has been increasing the complexity of gene regulatory networks (12). Although usually considered from the perspective of protein-coding genes (13), vertebrates are also distinguished from invertebrates by the transcribed, noncoding complements of their genome, with mammalian genomes transcribing over an order of magnitude more noncoding RNA as compared with either worm or fly (14). Importantly, it is among this noncoding sequence that a

variety of new classes of regulatory factors has been discovered, including microRNAs (miRNAs), which has been postulated as developmental and evolutionary determinants of organismal complexity (15, 16). Indeed, vertebrates possess many more miRNAs than any invertebrate sampled to date (17), and  $>50$  new miRNA families are thought to have evolved in the vertebrate lineage sometime after its split from the invertebrate chordates and before the divergence of osteichthyan fishes (17–19). Nonetheless, how this increase in the miRNA repertoire correlates to the emergence of vertebrate complexity is currently unclear because groups such as lampreys and sharks, from which we may infer the miRNA complement of early vertebrates, have yet to be sampled.

miRNAs are unusual with respect to all other known genetic elements (17, 20, 21) in that they are continuously being added to metazoan genomes, and once integrated into a gene regulatory network, the primary sequence of the mature  $\approx 22$ -nt sequence comes under intense negative selection, with mutations occurring only very rarely. In addition, the new miRNA is only rarely secondarily lost. These three properties (continuous addition, conservation of primary sequences, and rarity of secondary loss) allow for the accurate reconstruction of the miRNA complement of any last common ancestor, including the last common ancestor of all living vertebrates.

Here, we show by using a combination of genomic searches and Northern analyses that the vast majority of miRNAs found previously in osteichthyans [i.e., those shared between teleost fishes and mammals (17, 19)], actually evolved at the base of the Vertebrata, before the divergence between the living jawless (lamprey) and jawed fishes, but after the divergence of vertebrates from their invertebrate chordate relatives. Because the origin of these novel miRNA families cannot be ascribed to the GDEs associated with early vertebrate history, we argue that lying behind the origins of vertebrate complexity might be the evolution of novel miRNA families.

## Results and Discussion

**The Emergence of Vertebrates Is Characterized By an Unprecedented Increase in the Rate of miRNA Family Innovation.** Because miRNAs, once fixed, rarely change the primary sequence of the mature region and are rarely eliminated from the genome, it is possible to determine their phylogenetic origin through analysis and detection in living representatives (17, 22). This approach obviates the need for libraries from every species, as the conserved set of miRNAs between two species can be deduced if the complement of one (e.g., mouse) has been determined from libraries and the second (e.g., lamprey) queried for these miRNAs by other means like Northern analysis. We stress that the miRNAs that have evolved within the lamprey lineage will be

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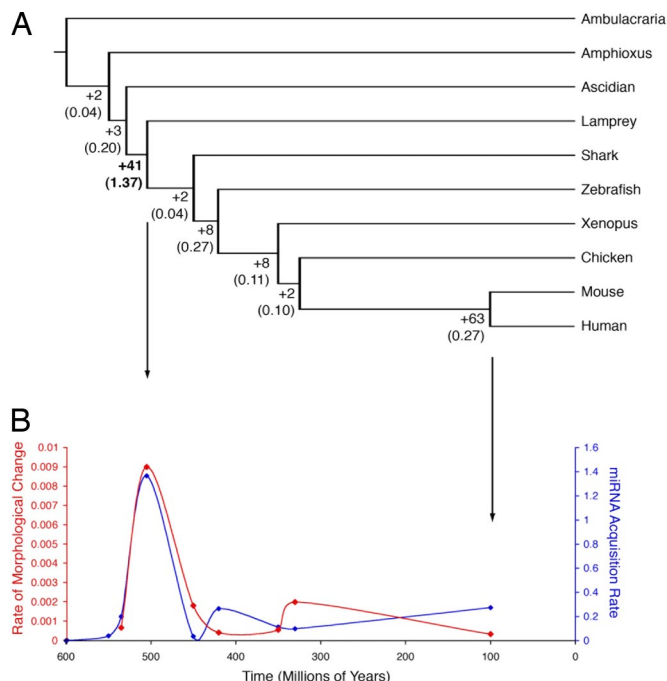
The authors declare no conflict of interest.

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**Fig. 3.** Evolutionary history of the 129 chordate-specific families of miRNAs found in eutherian mammals. (A) Cladogram derived from the history of miRNA family acquisition, with the number of new families (Table 1) indicated at the node and the rate of acquisition (number of new families per million years) shown parenthetically. Divergence times taken from estimates were derived from a molecular clock analysis (26) and the fossil record (44). (B) miRNA family acquisition rate (blue) plotted with rate of morphological change (2) (red) against absolute time. The spike for both miRNA acquisition and MCI are both outliers as compared with any other time in vertebrate history, as determined by a Dixon's *D* test. Points along the curves are tied to the nodes in A (two of which are indicated by arrows).

These data indicate that there were two periods in vertebrate evolutionary history when a seemingly inordinate number of miRNAs were acquired, once at the base of vertebrates, and once along the stem-lineage leading to eutherian mammals, specifically the branch intermediate of the last common ancestors of Amniota and Archontoglires (see also ref. 19). However, when the rate of miRNA acquisition was considered (the number of miRNA families acquired per million years of evolutionary history), as opposed to the raw number of newly evolved miRNA families, the eutherian rate of miRNA acquisition is not significantly higher than other episodes in vertebrate evolutionary history (Fig. 3). To ask about the rate of miRNA acquisition in early vertebrate history, the split between urochordates and vertebrates needs to be estimated. Peterson *et al.* (26) dated the origin of crown-group Chordata to  $\approx 550$  Ma, setting the maximum for this split, and the fossil record suggests that crown-group Olfactores had arisen by the end of the Early Cambrian  $\approx 520$  Ma (27); the age of crown-group Vertebrata, as estimated from the fossil record, is  $\approx 505$  Ma (28, 29). Thus, the rate of acquisition varies from 0.91 to 2.73, depending on when the speciation event occurred, and is 3–10 times higher than the rate at which they were acquired within the eutherian stem lineage (Fig. 3B).

**The Rate of miRNA Family Acquisition Correlates to the Increase in Vertebrate Morphological Complexity.** Using a midpoint estimate for the origin of Olfactores (i.e., 535 Ma), we then asked how the rate of miRNA acquisition along the vertebrate stem compares with changes in morphological complexity, as calculated by Aburomia *et al.* (2). Our data suggest that the vertebrate

### Table 1. Evolutionary acquisition of miRNA families

Taxon	No. of families	miRNA family
Eumetazoa	1	(102 <sup>d</sup> , 99 <sup>2d</sup> , 100)
Nephrozoa	29	(let <sup>711d/s</sup> , 98), (12 <sup>d</sup> , 206), 7 <sup>3d</sup> , (8, 141, 200 <sup>3d/s</sup> ), 9 <sup>3d</sup> , 22, (294 <sup>d/s</sup> , 285), 31, 33 <sup>2d</sup> , 34 <sup>3d/s</sup> , 71 <sup>lost</sup> , 79 <sup>lost</sup> , 92 <sup>3d</sup> , (96, 182, 183, 263 <sup>2d</sup> ), 124 <sup>3d/s</sup> , 125 <sup>3d</sup> , 133 <sup>3d</sup> , 137, 153 <sup>2d</sup> , 184, 190 <sup>2d</sup> , 193 <sup>2d</sup> , 210, 219 <sup>2d</sup> , 252 <sup>lost</sup> , 278 <sup>lost</sup> , 281 <sup>lost</sup> , 315 <sup>lost</sup> , 375
Chordata	2	216 <sup>2s</sup> , 217
Olfactores	3	126, 135 <sup>3d</sup> , 155
Vertebrata	41	(15 <sup>2d</sup> , 16 <sup>2d</sup> , 195), (17, 18 <sup>2d</sup> , 20 <sup>2d</sup> , 93, 106 <sup>2d</sup> ), 19 <sup>3d/s</sup> , 21, 23 <sup>2d</sup> , 24 <sup>2d</sup> , 25, 26 <sup>3d</sup> , 27 <sup>2d</sup> , 30 <sup>6d/s</sup> , 101 <sup>2d</sup> , (103 <sup>2d</sup> , 107), 122, 128 <sup>2d</sup> , 129 <sup>2d</sup> , (130 <sup>2d</sup> , 301 <sup>2d</sup> ), (132, 212), 138 <sup>2d</sup> , 139, 140, 142, 143, 145, 146 <sup>2d</sup> , (148 <sup>2d</sup> , 152), 181 <sup>6d/s</sup> , 192, 194 <sup>2d</sup> , 196 <sup>3d</sup> , 199 <sup>3d</sup> , 203, (204, 211), 205, 214, 218 <sup>2d</sup> , 220 <sup>3d/s</sup> , 221, 222, 338, 365 <sup>2d</sup> , 451
Gnathostomata	2	144, 150
Osteichthyes	8	187, 202, 223, 363, 429, 455, 489, 499
Tetrapoda	8	(191, 637), 208 <sup>2s</sup> , 215, 302 <sup>4s</sup> , 367, 383, 425, 449 <sup>2s</sup>
Amniota	2	147 <sup>2d</sup> , 490
Mammalia	63	(28, 151, 708), 127, (134, 412), 136, 149, (154, 323, 329 <sup>2s</sup> , 369, 377, 381, 382, 410, 453, 485, 487 <sup>2s</sup> , 494, 495, 496, 539, 655, 656), 185, 186, (188, 362, 500, 501, 502, 532, 660), 224, 296, 297, (299, 579), 320, (324, 544), (325, 493), 326, (328, 483), (330, 560), 331, 335, 339, 340, (342, 610), (345, 378), 346, 361, 376 <sup>4s</sup> , 370, (374 <sup>2s</sup> , 542), (379, 380, 411, 758), 384, 409, (422, 423), 431, 433, 448, 450 <sup>3s</sup> , 484, (486, 612), 488, 491, (497, 600), 503, 505, (506, 507, 508, 509 <sup>3s</sup> , 510, 513 <sup>2s</sup> , 514 <sup>3s</sup> , 652), (511 <sup>2s</sup> , 802), 551 <sup>2d</sup> , (568, 620), 592, 615, 668, 671, 675, 770, 801, 871, 872, 873, 874, 875, 876, 877

Bold type indicates detected by both Northern analysis and genomic searches in this study at the taxonomic level indicated. Bold italics indicates detected by Northern analysis in this study, not found by genomic searches, at the taxonomic level indicated. Underline indicates not detected by Northern analysis in this study, but found by genomic searches, at the taxonomic level indicated. Italics indicates not assayed by Northern analysis in this study, but found by genomic searches, at the taxonomic level indicated. Superscript indicates the number of known paralogues on different (d) or same (s) chromosome(s). Parentheses indicate microRNA family members (18) with a few minor modifications. Lost indicates the family is secondarily lost in the eutherian lineage. Note that *mir-278* is found in the genome of the hemichordate *Saccoglossus kowalevskii*; *mir-252*, and *mir-315* are found in the genomes of both the hemichordate and the lamprey; and *mir-71* is found in the genomes of sea urchin and amphioxus, but not ascidian or vertebrate; *mir-281* is found in nonvertebrate deuterostomes. Plain text indicates phylogenetic position determined by Sempere *et al.* (17), Prochnik *et al.* (24), and Huang and Gu (18) in conjunction with miRBase.

stem-lineage is similarly anomalous with respect to both miRNA acquisition rate and both the relative (Fig. 3B) and absolute (data not shown) amount of morphological change when compared with any other point in chordate evolution, as assessed by a Dixon's *D* test ( $P < 0.01$ ; ref. 30) for both miRNAs and the morphological complexity index (MCI) (Fig. 3B).

**miRNA Family Innovation Is Not the Result of Genome Duplication.** Hertel *et al.* (19) have cogently argued that nonlocal duplication of miRNAs, resulting in paralogues located on different chromosomes, occurs exclusively in association with whole GDE. Supporting their argument, if the evolutionary history of all 153 miRNA families conserved in eutherian mammals is traced



family, it does not lead to fundamental innovation or disparity, such as in the establishment of new miRNA families. In fact, where there is an unusually high rate of miRNA family acquisition, for example at the base of Nephrozoa (Table 1), there is no evidence for a GDE (11), and where there is a GDE, for example at the base of the teleost lineage (38), there is no evidence, despite extensive library searches (39), for an increase in the number of teleost-specific miRNA families. Indeed, in contrast to the rhetoric, no good evidence has been marshaled in support of the much-vaunted hypothesis that GDEs can confer increasing organismal complexity (10). Instead, we suggest that changes in the global transcriptional status of the vertebrate genome (14, 15, 40), which may have led to the creation of more hairpins, and hence potentially more miRNAs, led to the dramatic increase in organismal complexity in this one metazoan lineage.

## Materials and Methods

Northern analysis and genomic queries were done as described (17). Total RNA was extracted from the hemichordate *Ptychodera flava*, the cephalochordate *Branchiostoma floridae* (amphioxus), the urochordate *Ciona intestinalis*, and the vertebrates *Lampetra planeri* (brook lamprey), *Scyliorhinus canicula* (cat shark), *Danio rerio* (zebrafish), and *Mus musculus* (mouse). Genomic queries of taxa not represented in miRBase (release 10.0, Sanger Institute, Cambridge, United Kingdom; ref. 41) used the full stem-loop (pre-miRNA) sequence of the human miRNA to Blast against genomic traces and, if possible, the unassembled genome. Blast parameters used the default settings for blastn. Genomes searched included the sea urchin (*Strongylocentrotus purpuratus*), hemichordate (*Saccoglossus kowalevskii*), amphioxus (*Branchiostoma floridae*), ascidians (*Ciona intestinalis* and *Ciona savignyi*), sea lamprey (*Petromyzon marinus*) (all deposited at the National Center for Biotechnology Information, version 2.2.14, April 2007), and the elephant shark (*Callorhynchus milii*) (25), which was available at <http://blast.fugu-sg.org>. For the lamprey miRNAs we also queried against the unassembled

sea lamprey genome available by Pre-Ensembl (version 43, November 2006, Sanger Institute and European Bioinformatics Institute, Cambridge, United Kingdom). On occasion, we also searched the genomes of the teleost fishes *Takifugu rubripes* and *Tetraodon nigroviridis*, and the chicken *Gallus gallus*, for miRNAs not deposited at miRBase. Putative orthologues were determined by selecting all subject sequences that showed at least 75% similarity in the mature sequence (with 100% similarity across the seed region, nucleotides 2–7) with the query pre-miRNA sequence. To confirm orthology we first aligned the sequence with known pre-miRNAs, and then folded these putative orthologous sequences by using the web-based program Mfold (42) with standard minimum free energy values (43) to confirm a stable secondary structure. Alignments of the stem-loop sequences and the phylogenetic analyses used MacVector (version 7.2.3–2004; Accelrys). Distance analyses used the neighbor-joining algorithm with the Tamura-Nei correction; bootstrap values were derived from 1,000 replications. Family assignment of particular miRNAs followed Huang and Gu (18) with a few minor modifications after miRBase. To calculate the rate of miRNA acquisition was calculated by dividing the number of miRNAs acquired at each node by the time elapsed between nodes in millions of years, for example, 41 miRNAs/15 million years = 2.73 miRNAs/million years. The following divergence times were used: Chordata, 550 Ma; Olfactores, 550–520 Ma; Vertebrata, 505 Ma; Gnathostomata, 450 Ma; Osteichthyes, 420 Ma; Tetrapoda, 350 Ma; Amniota, 330 Ma; Eutheria, 100 Ma. The 550-Ma divergence estimate is based on a detailed molecular clock analysis (26); the rest were taken directly from the fossil record (27, 44).

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