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## **Lineage-restricted Function of Nuclear Factor $\kappa$ B-inducing Kinase (NIK) in Transducing Signals Via CD40**

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### **Abstract**

CD40 signaling in B cells and dendritic cells (DCs) is critical for the development of humoral and cell-mediated immunity, respectively. Nuclear factor  $\kappa$ B (NF- $\kappa$ B)-inducing kinase (NIK) has been implicated as a central transducing kinase in CD40-dependent activation. Here, we show that although NIK is essential for B cell activation, it is dispensable for activation of DCs. Such data provide compelling evidence that different intermediary kinases are used by different cellular lineages to trigger NF- $\kappa$ B activation via CD40.

**Key words:** CD40 signaling • nuclear factor  $\kappa$ B-inducing kinase • dendritic cell • nuclear factor  $\kappa$ B activation • *aly* mice

### **Introduction**

CD40 is a member of the TNFR family and plays a central role in the regulation of both humoral and cell-mediated immunity (1). Engagement of CD40 on B lymphocytes triggers the clonal expansion and differentiation of these cells and is an essential signal in the regulation of thymus-dependent humoral immunity (2–4). Furthermore, stimulation of APCs through CD40 promotes their differentiation and maturation into effective inducers of cell-mediated immunity, as manifested by enhanced production of cytokines and chemokines and expression of costimulatory molecules (5–7).

Although the functional significance of CD40–CD154 interactions in immunity has been studied extensively, the molecular components of the CD40 signal transduction cascade are still not thoroughly understood. One of the downstream events in CD40 signaling is activation of nuclear factor  $\kappa$ B (NF- $\kappa$ B [8]), a transcription factor that promotes expression of genes involved in immune and inflammatory responses. The CD40-proximal event in NF- $\kappa$ B activation is recruitment of adaptor proteins called TNFR-associated factors (TRAFs) to the CD40 receptor complex; five of the six known TRAFs (TRAF1, 2, 3, 5, and 6 [9–14]) associate with CD40 upon stimulation by its ligand, CD154 (15). After recruitment to the receptor complex, one or more of the TRAFs activate NF- $\kappa$ B (10, 11, 16) via the I $\kappa$ B kinase (IKK) complex (17), a process that probably involves an in-

termediate kinase (18–20). The IKK complex then phosphorylates I $\kappa$ B, which triggers degradation of I $\kappa$ B via ubiquitin-mediated proteolysis (for a review, see reference 21).

Degradation of I $\kappa$ B releases NF- $\kappa$ B, and NF- $\kappa$ B then translocates to the nucleus and initiates transcription of genes involved in immune and inflammatory responses. Two serine/threonine kinases have been implicated as intermediary kinases between TRAF recruitment to TNFRs and activation of the IKK complex: NF- $\kappa$ B-inducing kinase (NIK) and mitogen-activated protein kinase/extracellular signal regulatory kinase kinase (MEKK1 [18–20]). However, most of the available data on the role of NIK and MEKK1 in NF- $\kappa$ B activation were derived from experiments using transfected cell lines.

Evidence that NIK is an important kinase in mediating TNFR family signal transduction in vivo has recently been deduced using *aly* mice. *aly* mice are characterized by the absence of Peyer's patches and LNs, as well as by a loss of lymphoid organization in the spleen (22). Furthermore, *aly* mice have a severely reduced level of serum Ig, particularly IgA. This phenotype resembles the phenotype of the lymphotoxin (LT) $\beta$ R (23) and LT $\alpha$  knockout mice (24). However, the *aly* mice have a more severe reduction in serum IgM levels than either the LT $\alpha$  or LT $\beta$ R knockout mice. It has been demonstrated that the genetic lesion in the *aly* mouse is a point mutation that results in a single amino acid substitution in the COOH terminus of NIK, and that wild-type NIK expressed in transgenic (Tg) mice can restore a normal phenotype in these mice (25). The similarity between the phenotypes of

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the *aly*, LT $\alpha$  knockout, and LT $\beta$ R knockout mice suggests that the *aly* mutation interferes with LT $\beta$ R signal transduction, but an involvement of other signal transduction cascades through other TNFR family members is likely. The studies described here were undertaken to determine whether or not NIK has a direct role in the CD40 signal transduction cascade by analyzing the biological responses of B cells and DCs from *aly* mice to stimulation through CD40.

## Materials and Methods

**B Cell Activation Studies.** *aly/aly* and *aly/+* mice were obtained (Clea Japan) and bred in the Dartmouth College Animal Resource Center. B cells were isolated from spleens of *aly/aly* and *aly/+* mice, cultured in vitro, and assayed for their ability to proliferate, produce Ig, and upregulate cell surface markers in response to CD40 stimulation as described (26). Induction of proliferation by anti-CD40 (10  $\mu$ g/ml FGK115 [27]), LPS (50  $\mu$ g/ml), and anti-IgM (goat anti-mouse IgM) was measured by the incorporation of [ $^3$ H]thymidine from 66 to 72 h after initiation of culture. All cultures contained 10 ng/ml of IL-4. Induction of Ig secretion was performed using soluble, recombinant CD154 (28) or LPS in combination with IL-4 (10 ng/ml) and IL-5 (10 ng/ml). Ig secretion was measured using an isotype-specific ELISA, as described (4). Expression of cell surface molecules on splenic B cells was measured by flow cytometry as described previously (15).

**Phospho-I $\kappa$ B $\alpha$  Immunoblotting.**  $10 \times 10^6$  cells/ml were left unstimulated or stimulated with the optimal dose of CD8-CD154 (COS cell supernatant) or TNF- $\alpha$  (PeproTech) for the indicated times. After stimulation, cells were lysed in lysis buffer containing 1% IGEPAL, 50 mM Hepes (pH 7.4), 150 mM NaCl, 10 mM NaF, 0.4 mM EDTA, 10  $\mu$ g/ml aprotinin, 10  $\mu$ g/ml leupeptin,

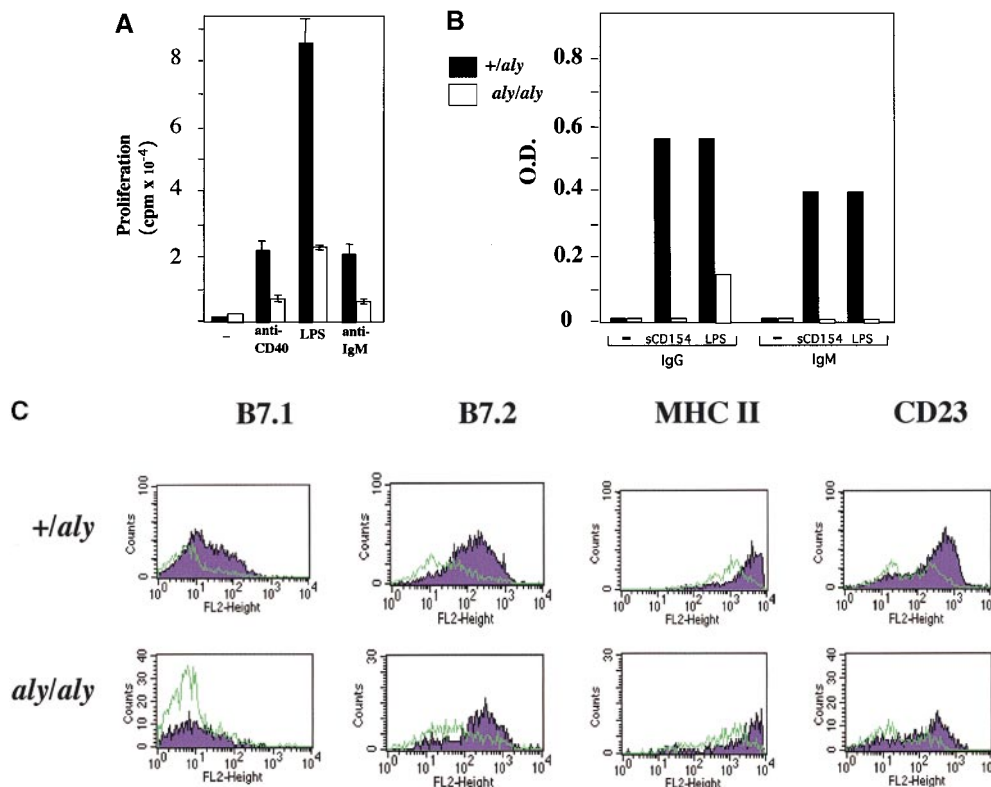
and 1 mM PMSF. Lysates were spun to remove nuclei and cell debris. Proteins were separated by SDS-PAGE and transferred to nitrocellulose. Phosphorylation of I $\kappa$ B $\alpha$  was assessed by immunoblotting with a phospho-specific anti-I $\kappa$ B $\alpha$  antibody (New England Biolabs) according to the manufacturer's instructions. Goat anti-rabbit horseradish peroxidase (HRP; Vector Laboratories) was used to detect the bound phospho-I $\kappa$ B $\alpha$  antibodies, followed by incubation with Supersignal Chemiluminescence substrate (Pierce Chemical Co.).

**DC Assays.** DCs were purified from the spleens of Flt3L-Ig-treated *aly/+* or *aly/aly* mice by negative selection using magnetic beads, as described previously (29). DCs were cultured at  $2 \times 10^6$  cells/ml in complete RPMI with GM-CSF/IL-4 (PeproTech), both at 10 ng/ml, with or without anti-CD40 (10  $\mu$ g/ml). Culture supernatant was assayed for IL-12 on day 3 by commercial ELISA kit (PharMingen).

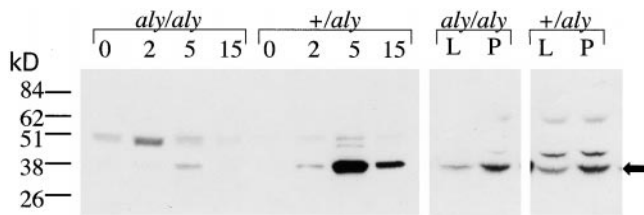
DCs purified as described above were pulsed with OVA peptide (323–339) for 90 min, washed extensively, and then plated with  $10^5$  OTII cells (30) at various DC densities as indicated. At 48 h, culture supernatants were assayed for the presence of IL-2 by commercial ELISA kit (PharMingen).

## Results and Discussion

To investigate the function of NIK in CD40-mediated B cell activation, B cells from *aly/+* and *aly/aly* mice were assessed for their ability to proliferate, produce Ig, and upregulate costimulatory molecules in response to soluble (s)CD154 and other polyclonal activators. B cells from *aly/aly* mice displayed a significant reduction in proliferative capacity in response to CD40, LPS, and anti-Ig activation relative to B cells from *aly/+* mice (Fig. 1 A). Similarly,



**Figure 1.** Role of NIK in activation of B cells. *aly/aly* and *aly/+* splenic B cells were cultured in vitro and assayed for their ability to proliferate (A), produce Ig (B), and upregulate cell surface markers (C) in response to CD40 stimulation. (A) Induction of proliferation by anti-CD40 (10  $\mu$ g/ml), FGK115, LPS (50  $\mu$ g/ml), and anti-IgM (goat anti-mouse IgM) was measured by the incorporation of [ $^3$ H]thymidine from 66 to 72 h after initiation of culture. All cultures contained 10 ng/ml of IL-4. (B) Induction of Ig secretion was performed using sCD154 or LPS in combination with IL-4 (10 ng/ml) and IL-5 (10 ng/ml). Ig secretion was measured using an isotype-specific ELISA. (C) Expression of cell surface molecules on splenic B cells from *aly/+* (top) or *aly/aly* (bottom) mice after culture with (purple histogram) or without (green outline histogram) sCD154 for 48 h was measured by flow cytometry.



**Figure 2.** Activation of NF- $\kappa$ B by NIK and *aly* NIK. Effect of *aly* NIK expression on I $\kappa$ B $\alpha$  phosphorylation in primary B cells. B cells from *aly/aly* and *aly/+* mice were stimulated in vitro for 2, 5, or 15 min with sCD154, or for 5 min with LPS (50  $\mu$ g/ml) or PMA (10 ng/ml) plus ionomycin (25 nM), and were assayed for phosphorylation of I $\kappa$ B $\alpha$  by Western blot with a phospho-specific anti-I $\kappa$ B $\alpha$ .

the capacity of B cells from *aly/aly* mice to produce IgM and IgG in response to sCD154 and LPS was also reduced (Fig. 1 B). In contrast to the diminished proliferation and Ig production observed in the *aly/aly* B cells, upregulation of several surface molecules (MHC class II, intercellular adhesion molecule 1 [ICAM-1], CD23, and B7.2) that are hallmarks of B cell activation in response to CD40 stimulation appeared intact. However, upregulation of one surface marker, B7.1, was impaired by the *aly* mutation (Fig. 1 C).

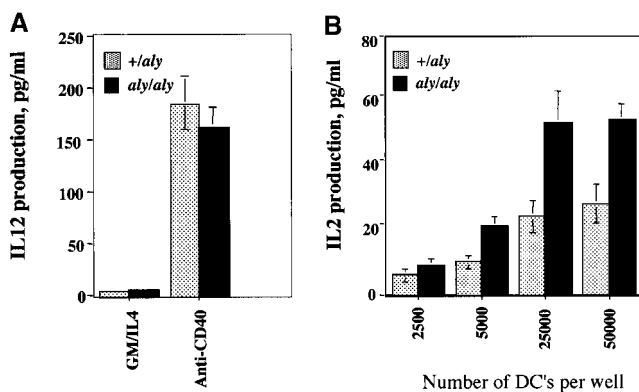
To further elucidate the molecular basis of the defects in biological responses of *aly/aly* B cells to CD40 stimulation, phosphorylation of I $\kappa$ B $\alpha$  in response to CD40 stimulation was analyzed as an indicator of NF- $\kappa$ B activation. B cells from spleens of *aly/+* and *aly/aly* mice were stimulated in vitro with sCD154, LPS, or PMA plus ionomycin and assayed for phosphorylation of I $\kappa$ B $\alpha$  by Western blot analysis (Fig. 2). A significant reduction in CD40-stimulated phosphorylation of I $\kappa$ B $\alpha$  was observed in B cells from *aly/aly* mice relative to B cells from *aly/+* animals. Interestingly, LPS-induced NF- $\kappa$ B activation was similar in B cells from

*aly/aly* mice, even though the *aly* mutation did impact the biological responses to LPS. Finally, no difference in phosphorylation of I $\kappa$ B $\alpha$  was observed when B cells from *aly/aly* mice were pharmacologically activated with PMA plus ionomycin.

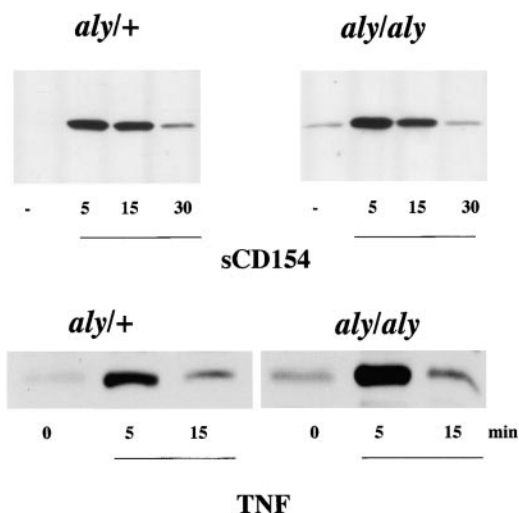
The direct effects of the *aly* NIK mutation on CD40-dependent B cell proliferation and Ig production indicate that NIK is an important intermediary of B cell activation. Since the *aly* NIK mutation did not have a noticeable effect on upregulation of several surface proteins, other signaling cascades such as c-Jun NH<sub>2</sub>-terminal kinase (JNK)- or tyrosine kinase-mediated pathways (31, 32) may be necessary for complete B cell activation. Alternatively, the *aly* mutation may have left some aspects of NIK function intact.

Given that the *aly* mutation exerted a severe biological phenotype in B cells, the impact of the *aly* mutation in another CD40-responsive cell type was evaluated. It is known that the APC capacity of DCs is influenced by CD40 ligation, as is the capacity of DCs to produce cytokines (IL-12 [33]) and chemokines (regulated on activation, normal T cell expressed and secreted [RANTES]) (34). To evaluate whether DC responses were impaired by the *aly* mutation, DCs from the spleens of *aly/aly* and *aly/+* mice were assayed in vitro for their ability to produce IL-12 and present antigen in response to CD40 engagement. DCs from both *aly/aly* and *aly/+* mice produced IL-12 at comparable levels in response to CD40 triggering (Fig. 3 A). To assess DC maturation, DCs from *aly/aly* mice and *aly/+* mice were used as a source of APCs for Tg T cells that express a TCR that specifically recognizes a peptide (amino acids 323–339) from OVA. DCs were pulsed with OVA peptide and cultured with OVA-specific Tg T cells for 2 d, and release of IL-2 into the culture medium was measured by ELISA. DCs from *aly/aly* and *aly/+* mice were equally effective at stimulating IL-2 release from Tg T cells (Fig. 3 B). Furthermore, the CD40-induced upregulation of B7.1, B7.2, ICAM-1, and MHC class II by *aly/+* and *aly/aly* DCs was indistinguishable (data not shown). To evaluate if the CD40-stimulated NF- $\kappa$ B activation pathway in DCs is impaired as a result of the *aly* mutation, DCs from *aly/aly* and *aly/+* were stimulated in vitro with sCD154 and assayed for phosphorylation of I $\kappa$ B $\alpha$  by Western blot analysis (Fig. 4). Phosphorylation of I $\kappa$ B $\alpha$  in response to sCD154 was indistinguishable in DCs from both *aly/aly* and *aly/+* mice. Since TNF- $\alpha$  is a known inducer of NF- $\kappa$ B, and NIK has been implicated in TNFR signaling, we evaluated whether the activation of NF- $\kappa$ B by TNF- $\alpha$  in *aly/aly* DCs was impaired. As observed with CD40 activation, the activation of NF- $\kappa$ B by TNF- $\alpha$  was indistinguishable between the *aly/aly* and *aly/+* DCs. These results indicate that NIK is not essential for CD40-induced IL-12 production or maturation or for NF- $\kappa$ B activation in DCs. It further shows that NIK is dispensable for activation of NF- $\kappa$ B in DCs by TNF- $\alpha$ .

Although experiments with transfected cell lines have suggested that NIK is involved in CD40 signaling, the data presented in this report demonstrate that NIK plays an essential role in vivo in CD40-dependent biological responses



**Figure 3.** Responses of DCs in *aly/aly* and *aly/+* mice. (A) The NIK mutation does not affect CD40-induced IL-12 production by DCs. *aly/+* or *aly/aly* DCs were cultured at  $2 \times 10^6$  cells/ml in cRPMI with GM-CSF/IL-4 (both at 10 ng/ml) with or without anti-CD40 (10  $\mu$ g/ml). Culture supernatant was assayed for IL-12 on day 3 by ELISA. (B) The NIK mutation does not affect the antigen-presentation capacity of DCs. DCs were purified as in A and were pulsed with OVA peptide (323–339) for 90 min, washed extensively, and then plated with  $10^5$  OTII cells (OVA-specific Tg T cells) at various DC densities as indicated. At 48 h, culture supernatants were assayed for the presence of IL-2 by ELISA.



**Figure 4.** Phosphorylation of I $\kappa$ B $\alpha$  in response to CD40 engagement is normal in DCs from *aly/aly* mice. DCs were stimulated in vitro with sCD154 or TNF- $\alpha$  as indicated, and were assayed for phosphorylation of I $\kappa$ B $\alpha$  by Western blot with a phospho-specific I $\kappa$ B $\alpha$  antibody.

of B cells, but not in DCs. B cells from *aly* mice were largely unresponsive to CD40-induced proliferation, Ig production, and NF- $\kappa$ B activation. However, induced expression of several early activation molecules (e.g., CD23, B7.2) was not impaired by the *aly* mutation. In contrast, DCs from *aly/aly* mice were indistinguishable from *aly/+* mice in their capacity to produce IL-12 in response to CD40. Furthermore, *aly/aly*-derived DCs were able to present antigen as efficiently as DCs from *aly/+* mice. Direct assessment of NF- $\kappa$ B activation in *aly*-derived DCs demonstrated that NF- $\kappa$ B was intact. Hence, the function of NIK as a mediator of NF- $\kappa$ B activation via CD40 is lineage restricted, and it is likely that another mitogen-activated protein kinase (MAPK) is critical for NF- $\kappa$ B activation via CD40 in DCs. Since MEKK1 has also been shown to be an IKK, it is possible that DCs may utilize MEKK1 to trigger CD40-dependent responses.

Responses to LPS and anti-Ig were also significantly reduced, suggesting that NIK plays a role in these biological responses as well. The role of NIK as a kinase involved in signal transduction through non-TNFR family members has been suggested by studies showing that NIK may regulate CD28-induced IL-2 production (35). Hence, NIK may have multiple roles in the regulation of lymphocyte activities that are not directly related to its ability to associate with TRAFs.

Interestingly, the *aly* mutation that has been reported in mice bears a striking resemblance to a mutation observed in humans. Although most hyper-IgM (HIM) patients have a mutation in the CD154 gene, there is a cohort of HIM patients that have an autosomal recessive mutation that manifests as a defect in CD40 signaling (36, 37). One such patient (38) was shown to have defective responses in B cell activation, but normal responses within the DC compartment in response to CD40 signaling. In addition, these pa-

tients do not exhibit an overt enhanced susceptibility to opportunistic infections, unlike HIM patients with mutations in the CD154 gene. It is possible that mutations in NIK may account for the selective loss of CD40-dependent immunity in the humoral, but not cellular, compartments of the immune system.

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